# Solution Hints to the Exercises

from

# A Concise Introduction to Mathematical Logic

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Hints to the exercises can as a rule easily be supplemented to a complete solution. Exercises that are essential for the text are solved completely. The reader may mail improved solutions to the author whose website is www.math.fu-berlin.de/~raut.

# Section 1.1

- 1. (a):  $x_k$  is fictional in f iff  $a_k = 0$ . (b): Because of the uniqueness,  $2^{n+1}$  (= number of subsets of  $\{0, \ldots, n\}$ ) is the number sought for. (c): induction on formulas in  $\neg$ , + and  $p_1, \ldots, p_n$ .
- 2. Consider on  $\mathcal{F}$  the property  $\mathcal{E}\varphi$ : ' $\varphi$  is prime or there are  $\alpha, \beta \in \mathcal{F}$  with  $\varphi = \neg \alpha$  or  $\varphi = (\alpha \circ \beta)$  where  $\circ = \land$  or  $\circ = \lor$ .' Formula induction shows  $\mathcal{E}\varphi$  for all  $\varphi \in \mathcal{F}$ .
- 3. Verify by induction on φ the property Eφ: 'no proper initial segment of φ is a formula nor can φ be a proper initial segment of a formula'. Induction step: Case φ = ¬α. Then a proper initial segment of ¬α either equals ¬ (hence is not a formula), or has the form ¬ξ where ξ is a proper initial segment of α. Thus ξ ∉ F by the induction hypotheses, hence also ¬ξ ∉ F (since a formula starting with ¬ must have the form ¬β for some formula β by Exercise 2). Case φ = (α ∘ β). Let ξ be a proper initial segment of φ or conversely. Assume that ξ is a formula so that ξ = (α' ∘' β'), some α', β' ∈ F (Exercise 2). Then α ≠ α', for otherwise necessarily ξ = φ. Hence α' is a proper initial segment of α
- 4. Assume that  $(\alpha \circ \beta) = (\alpha' \circ \beta')$ , hence  $\alpha \circ \beta = \alpha' \circ \beta'$ . If  $\alpha \neq \alpha'$  then  $\alpha$  is a proper initial segment of  $\alpha'$  or conversely. This is impossible by Exercise 3. Consequently  $\alpha = \alpha'$ , hence  $\circ = \circ'$  and  $\beta = \beta'$ .

# Section 1.2

- 1.  $w((p \to q_1) \land (\neg p \to q_2)) = 0$  iff  $wp = 1, wq_1 = 0$  or  $wp = 0, wq_2 = 0$ , and the same condition holds for  $w(p \land q_1 \lor \neg p \land q_2) = 0$ . In a similar way the second equivalence is treated.
- 2.  $\neg p \equiv p+1, \ 1 \equiv p+\neg p, \ p \leftrightarrow q \equiv p+\neg q, \ p+q \equiv p \leftrightarrow \neg q \equiv \neg (p \leftrightarrow q).$
- 3. Induction on the  $\alpha \in \mathcal{F}_n\{0, 1, \wedge, \vee\}$  (= set of formulas in  $0, 1, \wedge, \vee$  and  $p_1, \ldots p_n$ ). If  $f, g \in \mathbf{B}_n$  are monotonic then so is  $\vec{a} \mapsto f\vec{a} \circ g\vec{a}$ , where  $\circ$  is  $\wedge$  or  $\vee$ . For simplicity, treat first the case n = 1. Converse: Induction on the arity n. Clear for n = 0, with the formulas 0 and 1 representing the two constants. With  $f \in \mathbf{B}_{n+1}$  also  $f_k : \vec{x} \mapsto f(\vec{x}, k)$  is monotonic (k = 0, 1). Let  $\alpha_k \in \mathcal{F}_n\{0, 1, \wedge, \vee\}$  represent  $f_k$  (induction hypothesis). Then  $\alpha_0 \vee (\alpha_1 \wedge p_{n+1})$  represents f. Note that  $w\alpha_0 \leq w\alpha_1$  for all w.

4. By Exercise 3, a not representable  $f \in \mathbf{B}_{n+1}$  is not monotonic in the last argument, say. Then  $f(\vec{a}, 1) = 0$  and  $f(\vec{a}, 0) = 1$  for some  $\vec{a} \in \{0, 1\}^n$ , hence  $g: x \mapsto f(\vec{a}, x)$  is negation. This proves the claim.

# Section 1.3

- 1. (a): MP easily yields  $p \rightarrow q \rightarrow r, p \rightarrow q, p \models r$ . Apply (D) three times.
- 2. The deduction theorem yields  $\vDash (\alpha \rightarrow \beta) \rightarrow (\gamma \rightarrow \alpha) \rightarrow (\gamma \rightarrow \beta)$ .
- 3. Assume that  $w \vDash X, \alpha \lor \beta$ . Then clearly  $w \vDash X, \alpha$  or  $w \vDash X, \beta$ .
- 5. Let  $X \vdash \alpha \notin X$  Then  $X, \alpha \vdash \beta$  for each  $\beta$ . Thus,  $X \vdash \beta$  by (T).

#### Section 1.4

- 1.  $X \cup \{\neg \alpha \mid \alpha \in Y\} \vdash \bot \Rightarrow X \cup \{\neg \alpha_0, \dots, \neg \alpha_n\} \vdash \bot$ , some  $\alpha_0, \dots, \alpha_n \in Y$ . Hence  $X \vdash (\bigwedge_{i \leq n} \neg \alpha_i) \to \bot$ , or equivalently,  $X \vdash \bigvee_{i \leq n} a_i$ . This all is easily verified if  $\vdash$  is replaced by  $\models$ .
- 2. Supplement Lemma 4.4 by proving  $X \vdash \alpha \lor \beta \Leftrightarrow X \vdash \alpha$  or  $X \vdash \beta$ .
- 3. Choose  $X, \varphi$  such that  $X \nvDash \varphi$  and  $X \vdash' \varphi$ . Let  $Y \supseteq X \cup \{\neg\varphi\}$  be maximally consistent in  $\vdash$ . Define  $\sigma$  by  $p^{\sigma} = \top$  for  $p \in Y$  and  $p^{\sigma} = \neg \top$  otherwise. Induction on  $\alpha$  yields with the aid of  $(\land)$  and  $(\neg)$  page 28

$$(*) \quad \alpha \in Y \Rightarrow \vdash \alpha^{\sigma} \quad ; \quad \alpha \notin Y \Rightarrow \vdash \neg \alpha^{\sigma}.$$

In proving  $(*), \vdash \top, \vdash \alpha \Rightarrow \vdash \neg \neg \alpha, \neg \alpha \vdash \neg (\alpha \land \beta)$ , and  $\neg \beta \vdash \neg (\alpha \land \beta)$ are needed which easily follow from the  $\neg$ -rules. By  $(*), \vdash \neg \varphi^{\sigma}$ , hence  $\vdash' \neg \varphi^{\sigma}$ . Clearly  $\vdash Y^{\sigma}$  (i.e.,  $\vdash \alpha^{\sigma}$  for all  $\alpha \in Y$ ), and so  $\vdash' Y^{\sigma}$ . But  $Y^{\sigma} \vdash' \varphi^{\sigma}$  (substitution invariance). Thus,  $\vdash' \varphi^{\sigma}$ . Therefore  $\vdash' \alpha$  for all  $\alpha$  by  $(\neg 1)$ , so that  $\vdash$  is maximal by definition.

There is a smallest consequence relation with the properties (∧1)-(¬2), namely the calculus ⊢ of this section. Since ⊢ ⊆ ⊨ and ⊢ is already maximal according to Exercise 3, ⊢ and ⊨ must coincide.

#### Section 1.5

1. For finite M easily shown by induction on the number of elements of M. Note that M has a maximal element. General case: Add to the formulas in Example 1 the set of formulas  $\{p_{ab} \mid a \leq_0 b\}$ .

- 2.  $\Rightarrow$ : Assume  $M, N \notin F$ . Then  $\backslash (M \cup N) = \backslash M \cap \backslash N \in F$ , because  $\neg M, \neg N \in F$ . Therefore  $M \cup N \notin F$ .  $\Leftarrow$ :  $M \in F$  implies  $M \cup N \in F$  by condition (b). For proving  $(\neg)$  from  $(\cap)$  observe that  $M \cup \backslash M \in F$ .
- 3.  $\Rightarrow$ : Let U be trivial, i.e.,  $E \in U$  for some finite  $E \subseteq I$ . Induction on the number of elements in E and Exercise 2 easily show that  $\{i_0\} \in U$  for some  $i_0 \in E$ . The converse is obvious.

# Section 1.6

- First verify the deduction theorem, which holds for each calculus with MP as the only rule and A1, A2 among the axioms; cf. Lemma 6.3. X is consistent iff X ⊭ ⊥, for X ⊢ ⊥ ⇒ X ⊢ (α → ⊥) → ⊥ = ¬¬α by A1, hence X ⊢ α by A3. Now prove X ⊢ α → β iff X ⊢ α ⇒ X ⊢ β, provided X is maximally consistent. This allows one to proceed along the lines of Lemma 4.5 and Theorem 4.6.
- 2. Apply Zorn's lemma to  $H := \{Y \supseteq X \mid Y \nvDash \alpha\}$ . Note that if  $K \subseteq H$  is a chain then  $\bigcup K \in H$  due to the finitarity of  $\vdash$ .
- 3. (a): Such a set X satisfies (\*): X ⊢ φ → α for all α. For otherwise X, φ → α ⊢ φ, hence X ⊢ (φ → α) → φ, and so X ⊢ φ by Peirce's axiom. Suppose α ∉ X. Then X, α ⊢ φ, φ → β by (\*), and so X, α ⊢ β. (b): With (a) easily follows X ⊢ α → β iff X ⊢ α ⇒ X ⊢ β as in Exercise 1. Proceed with an adaptation of Lemma 4.5.
- 4. Crucial for completeness is the proof of (m): α ⊢ β ⇒ αγ ⊢ βγ by induction on the rules of ⊢. (m) implies (M): X, α ⊢ β ⇒ X, αγ ⊢ βγ, proving first that a calculus ⊢ based solely on unary rules satisfies X ⊢ β ⇒ α ⊢ β for some α ∈ X. E.g., α ⊢ αβ ⇒ αγ ⊢ γα ⊢ γαβ ⊢ αβγ. Although α(βγ) ⊢ (αβ)γ and conversely, it is still tricky to show that α(βγ)δ ⊢ (αβ)γδ. (M) implies X, α ⊢ γ & X, β ⊢ γ ⇒ X, αβ ⊢ γ, because X, α ⊢ γ ⇒ X, αβ ⊢ γβ ⊢ βγ and X, βγ ⊢ γγ ⊢ γ, therefore X, αβ ⊢ γ. From this it follows [v]: X ⊢ αβ ⇔ X ⊢ α or X ⊢ β, provided X is φ-maximal, for note that

 $X \nvDash \alpha \& X \nvDash \beta \Rightarrow X, \alpha \vdash \varphi \& X, \beta \vdash \varphi \Rightarrow X, \alpha\beta \vdash \varphi \Rightarrow X \nvDash \alpha\beta.$ 

Having [v] one may proceed with a slight modification of Lemma 4.5.

# Section 2.1

- There are 10 essentially binary Boolean functions f. The corresponding algebras ({0,1}, f) split into 5 pairs of isomorphic ones. For example, ({0,1}, ∧) ≃ ({1,0}, ∨).
- 2.  $\Leftarrow$ : Choose c = a in  $a \approx b$  &  $a \approx c \Rightarrow b \approx c$  to get  $a \approx b \Rightarrow b \approx a$ .
- 3. For simplicity, treat first the case n = 2 using transitivity.
- 5. For simplicity, let the signature contain only the symbols r, f, both unary. Then  $ra \Rightarrow ra_i \Rightarrow rha$  and  $hfa = h(fa_i)_{i \in I} = fa_i = fha$ .

#### Section 2.2

- 1. Trivial if t is a prime term. A terminal segment of  $f\vec{t}$  either equals  $f\vec{t}$  or has the form  $t'_k t_{k+1} \cdots t_n$  for some  $k \leq n$   $(t'_k t_{k+1} \cdots t_n \text{ means } t'_n \text{ in case } k = n)$ , where  $t'_k$  a terminal segment of  $t_k$ . By the induction hypotheses,  $t'_k$  is a term concatenation, hence so is  $t'_k t_{k+1} \cdots t_n$ .
- 2. It suffices to prove (a')  $t\xi = t'\xi' \Rightarrow t = t'$ , for all  $t, t' \in \mathcal{T}$ , all  $\xi, \xi' \in S_{\mathcal{L}}$ by induction on t. This is obvious for prime t. Let  $t = ft_1 \cdots t_n$  and  $t\xi = t'\xi'$  with  $t' = f't'_1 \cdots t'_m$ . Then clearly f = f' and m = n, hence  $t_1 \cdots t_n \xi = t'_1 \cdots t'_n \xi'$ . Thus  $t_1 = t'_1$  and  $t_2 \cdots t_n \xi = t'_2 \cdots t'_n \xi'$  by the induction hypothesis for  $t_1$ . Similarly,  $t_2 = t'_2 \cdots t_n = t'_n$  and also  $\xi = \xi'$ . This proves (a').
- 3. (a): Similar to Exercise 3 in **1.1**. (b) follows readily from (a). (c): If  $\neg \xi \in \mathcal{L}$  then by (b),  $\neg \xi = \neg \alpha$  for some  $\alpha \in \mathcal{L}$ . Hence  $\xi = \alpha$ . Similarly,  $\alpha, \alpha \land \xi \in \mathcal{L} \Rightarrow \alpha \land \xi = \beta \land \gamma$ , some  $\beta, \gamma \in \mathcal{L}$ , hence  $\alpha = \beta$  and  $\xi = \gamma$ .
- 5. Can completely be reduced to Corollary 1.2.2 by some bijection from X onto a set V of propositional variables.

# Section 2.3

- 1. If  $\mathcal{M} \vDash X$  and  $x \notin \text{free } X$  then  $\mathcal{M}_x^a \vDash X$  for each a (Theorem 2.3.1).
- 2.  $\forall x(\alpha \rightarrow \beta), \forall x\alpha \models \alpha \rightarrow \beta, \alpha \models \beta$  and Exercise 1.
- 3. The Theorems 3.1 and 3.5 yield  $\mathcal{A} \models \alpha [a] \Leftrightarrow \mathcal{A}' \models \alpha [a] \Leftrightarrow \mathcal{A}' \models \alpha_x(a)$ .
- 4. (a):  $\exists_n \land \exists_m \equiv \exists_m \text{ for } n \leqslant m, \text{ and } \exists_n \land \neg \exists_m \equiv \exists_0 (\equiv \bot) \text{ for } n \geqslant m.$ (b): Exercise 5 in **2.2**, and  $\exists_n \land \neg \exists_m \equiv \bigvee_{n \leqslant k < m} \exists_{=k} \text{ for } n < m.$

# Section 2.4

 $1. \ \alpha \equiv \beta \ \Rightarrow \vDash \forall \vec{x} \left( \alpha \leftrightarrow \beta \right) \ \Rightarrow \vDash \left( \alpha \leftrightarrow \beta \right) \frac{\vec{t}}{\vec{x}} \ \left( = \alpha \, \frac{\vec{t}}{\vec{x}} \leftrightarrow \beta \, \frac{\vec{t}}{\vec{x}} \right).$ 

- 3. W.l.o.g.  $\alpha \equiv \forall \vec{y} \alpha'(\vec{x}, \vec{y})$  and  $\beta \equiv \forall \vec{z} \beta'(\vec{x}, \vec{z})$  with disjoint tuples  $\vec{x}, \vec{y}, \vec{z}$ .
- 4. Simultaneous induction on  $\varphi$  and  $\neg \varphi$ . Clear if  $\varphi$  is prime. If the claim holds for  $\alpha, \beta$  then also for  $(\alpha \land \beta)$  and  $\neg(\alpha \land \beta)$  ( $\equiv \neg \alpha \lor \neg \beta$ ). The step for  $\lor$  is similar. Step for  $\neg$ : Simply observe that  $\neg \neg \alpha \equiv \alpha$ .
- 5.  $\exists x(Px \rightarrow \forall yPy) \equiv \forall xPx \rightarrow \forall yPy$  according to (10) in **2.4**.

# Section 2.5

- 1. Proof very similar to that of Exercise 6 in 2.4
- 2.  $\Rightarrow: S \vDash \alpha \frac{t}{x} \rightarrow \beta \Leftrightarrow S, \alpha \frac{t}{x} \vDash \beta$  and (e) page 79.  $\Leftarrow:$  (9) in **2.4**.
- 3.  $\beta \in T + \alpha \Leftrightarrow T, \alpha \models \beta \Leftrightarrow T \models \alpha \rightarrow \beta$  by the deduction theorem.

# Section 2.6

- 1. The "if" part follows as Theorem 6.1 because  $y = f\vec{t} \equiv_{T_f} \delta_f(\vec{t}, y)$ ). The "only if" part:  $y = f\vec{t} \equiv_{T_f} \delta_f(\vec{t}, y)$  and  $T_f \models \forall \vec{x} \exists ! y \, y = f\vec{x}$ . Hence also  $T_f \models \forall \vec{x} \exists ! y \, \delta(\vec{x}, y)$ .
- 2.  $\mathcal{N} \models x = 0 \leftrightarrow \forall y \, x \neq \mathbf{S}y$ . Careful calculation confirms the definition  $x + y = z \leftrightarrow x = y = z = 0 \lor z \neq 0 \land \mathbf{S}(x \cdot z) \cdot \mathbf{S}(y \cdot z) = \mathbf{S}(z^2 \cdot \mathbf{S}(x \cdot y))$ . Therein  $z^2$  denotes the term  $z \cdot z$ .
- 3. Let xy = xz = e ( $\circ$  not written). Choose some y' with yy' = e. Then yx = (yx)(yy') = y(xy)y' = yey' = e and so ex = (xy)x = x(yx) = xe = x for all x. In other words, e is a left and right unit element. We hence obtain y = ye = y(xz) = (yx)z = ez = z. For the additional claim derive the axioms of  $T_G^{=}$  from those of  $T_G$  and conversely.
- 4. If < were definable then < would be invariant under automorphisms of  $(\mathbb{Z}, 0, +)$ . This is not the case for the automorphism  $n \mapsto -n$ . This approach to the problem is also called Padoa's method.

#### Section 3.1

- 1. Let  $X \vdash \alpha \frac{t}{x}$ . Then  $X, \forall x \neg \alpha \vdash \alpha \frac{t}{x}, \neg \alpha \frac{t}{x}$ . Hence  $X, \forall x \neg \alpha \vdash \exists x \alpha$ . Also  $X, \neg \forall x \neg \alpha \vdash \exists x \alpha \ (= \neg \forall x \neg \alpha)$ . Thus  $X \vdash \exists x \alpha$  according to  $(\neg 2)$ .
- 2. Let  $\alpha' := \alpha \frac{y}{x}, u \notin \operatorname{var} \alpha, u \neq y$ . Then  $\forall x \alpha \vdash \alpha' \frac{u}{y} (= \alpha \frac{u}{x})$  by  $(\forall 1)$ . Hence  $\forall x \alpha \vdash \forall y \alpha'$  by  $(\forall 2)$ , with  $X = \{\forall x \alpha\}, \alpha'$  for  $\alpha$ , and y for x.
- 3.  $\forall y(\alpha \frac{y}{x}) \vdash \forall x \alpha \vdash \forall z(\alpha \frac{z}{x})$  according to Exercise 2.

 $4. \Rightarrow: X \nvDash \varphi \Rightarrow X, \varphi \vdash \bot \Rightarrow X \vdash \neg \varphi. \Leftarrow: X \nvDash \alpha \Rightarrow X \vdash \neg \alpha \Rightarrow X, \alpha \vdash \bot.$ 

#### Section 3.2

- 1. First prove (\*)  $\mathfrak{T} \vDash \forall \vec{x} \varphi$  iff  $\mathfrak{T} \vDash \varphi \frac{\vec{t}}{\vec{x}}$  for all  $\vec{t} \in \mathcal{T}_0^n$  ( $\varphi \in \mathcal{L}$  open); use Theorem 2.3.5. Next prove  $\binom{*}{*} X \vdash \alpha \Leftrightarrow \mathfrak{T} \vDash \alpha$  ( $\alpha \in \mathcal{L}^0$  open) by induction on  $\land, \neg$ ; observe that  $\mathcal{L}$  is =-free. Let  $X \vdash \forall \vec{x} \varphi$  ( $\varphi$  open) and  $\vec{t} \in \mathcal{T}_0^n$ . Then also  $X \vdash \alpha := \varphi \frac{\vec{t}}{\vec{x}}$ , hence  $\mathfrak{T} \vDash \alpha$  by  $\binom{*}{*}$ . Thus,  $\mathfrak{T} \vDash \forall x \varphi$  by (\*), and so  $\mathfrak{T} \vDash U$ .
- 2.  $K \vdash \alpha \Rightarrow T \vdash \alpha$  for some  $T \in K$  (finiteness theorem)
- 4. (i) $\Rightarrow$ (ii): (12) in **2.4**. Observe also  $(x = t \rightarrow \alpha) \frac{t}{x} \equiv \alpha \frac{t}{x}$ .

#### Section 3.3

- 1. Prove  $\vdash_{\mathsf{PA}} \forall z(x+y) + z = x + (y+z)$  by induction on z. Obvious for z = 0. The induction step follows easily from  $\vdash_{\mathsf{PA}} x + \mathsf{S}y = \mathsf{S}(x+y)$ . Most proofs of the arithmetical laws in  $\mathsf{PA}$  need much patience.
- 2.  $z + x = x \rightarrow z = 0$  (induction on x) readily yields  $x \leq y \leq x \rightarrow x = y$ .
- 3. Informally:  $x < y \Rightarrow \exists z \, Sz + x = y \Rightarrow \exists z \, z + Sx = y \Rightarrow Sx \leq y$ . The converse  $Sx \leq y \rightarrow x < y$  follows from  $\vdash_{\mathsf{PA}} x < Sx$ . The induction hypothesis of  $x \leq y \lor y \leq x$  may be written as  $x < y \lor y \leq x$ . If x < ythen  $Sx \leq y$ , hence  $Sx \leq y \lor y \leq Sx$  (induction claim). We get the same in the case  $y \leq x$ , since then  $y \leq Sx$  ( $\leq$  is transitive).
- 4. (a): Put  $\varphi := (\forall y < x) \alpha \frac{y}{x}$ . It suffices to prove (i)  $\forall x(\varphi \to \alpha) \vdash_{\mathsf{PA}} \varphi \frac{0}{x}$ (which is trivial) and (ii)  $\forall x(\varphi \to \alpha) \vdash_{\mathsf{PA}} \varphi \to \varphi \frac{Sx}{x}$  since by IS then  $\forall x(\varphi \to \alpha) \vdash_{\mathsf{PA}} \forall x\varphi \vdash_{\mathsf{PA}} \forall x\alpha$ . Now,  $\varphi, \varphi \to \alpha \vdash_{\mathsf{PA}} \varphi \land \alpha \equiv_{\mathsf{PA}} \varphi \frac{Sx}{x}$ , hence  $\forall x(\varphi \to \alpha) \vdash_{\mathsf{PA}} \varphi \to \varphi \frac{Sx}{x}$  which confirms (ii). (b): Follows from

(a) by contraposition. (c): For  $\varphi := (\forall x < v) \exists y \gamma \to \exists z (\forall x < v) (\exists y < z) \gamma$ holds  $\vdash_{\mathsf{PA}} \varphi \frac{0}{v}$ , and  $\varphi \vdash_{\mathsf{PA}} \varphi \frac{\mathsf{S}v}{v}$ . This yields the claim by IS.

# Section 3.4

- 1.  $T \cup \{v_i \neq v_j \mid i \neq j\}$  is satisfiable because each finite subset is.
- 2.  $Th\mathcal{A} \cup \{v_{n+1} < v_n \mid n \in \mathbb{N}\}$  has a model with a descending  $\omega$ -chain.
- 3. If  $\alpha \notin T$  then T has a completion T' with  $\neg \alpha \in T'$ , hence  $\alpha \notin T'$ .
- 4. Consider the identical operator on the universe V and restrict it to a given set u in AS.
- 5. Informally: Suppose fin(a),  $\varphi_x(\emptyset)$ , and  $\forall u \forall e(\varphi_x(u) \to \varphi_x(u \cup \{e\}))$ . Then holds also  $\emptyset \in s \land (\forall u \in s)(a \land u \neq \emptyset \to (\exists e \in a \land u)u \cup \{e\} \in s)$  for the set  $s := \{u \in \mathfrak{P}a \mid \varphi_x(u)\}$ . Hence  $a \in s$ , i.e.  $\varphi_x(a)$ .

#### Section 3.5

- 2. Let  $T + \{\alpha_i \mid i \in \mathbb{N}\}$  be an infinite extension of T. We may assume  $\bigwedge_{i \leq n} \alpha_i \nvDash_T \alpha_{n+1}$ . Hence,  $T + \bigwedge_{i \leq n} \alpha_i \wedge \neg \alpha_{n+1}$  is consistent. Let  $T_n$  be a completion of  $T + \bigwedge_{i \leq n} \alpha_i \wedge \neg \alpha_{n+1}$ . Then  $T_n \neq T_m$ . Thus, a theory with finitely many completions cannot have an infinite extension and, in particular, no infinite completion.
- 3. Let  $T_0, \ldots, T_n$  be the completions of T. According to Exercise 3 in **3.4**,  $\alpha \in T$  iff  $\alpha \in T_i$  for all  $i \leq n$ . Thus, T is decidable provided each  $T_i$  is, and this follows from Theorem **5.2**, for each  $T_i$  is a finite extension of T according to Exercise 2, hence is axiomatizable as well.
- 4. Starting with a effective enumeration  $(\alpha_n)_{n \in \mathbb{N}}$  of  $\mathcal{L}^0$ , a Lindenbaum completion of T as constructed in **1.4** is effectively enumerable.
- 5. According to Exercise 3 in **3.4**, there is a bijection between the set of consistent extensions of T (including T) and the set of nonempty subsets of the collection  $\{T_1, \ldots, T_n\}$  of all completions of T.

#### Section 3.6

- 1.  $x = y \nvDash \forall x = y$ . The same holds for  $\succ$ , since  $\succ \subseteq \vDash$ .
- 2. (a): Let  $(\varphi_n)_{n \in \mathbb{N}}$  and  $(\mathcal{A}_n)_{n \in \mathbb{N}}$  be effective enumerations of all sentences and of all finite *T*-models (up to isomorphism). In step *n* write down all  $\varphi_i$  for  $i \leq n$  with  $\mathcal{A}_n \nvDash \varphi_i$ . (b): Let  $(\alpha_n)_{n \in \mathbb{N}}$  and  $(\beta_n)_{n \in \mathbb{N}}$  be effective enumerations of sentences provable or refutable in *T*, respectively. Each  $\alpha \in \mathcal{L}^0$  occurs in one of these sequences. In the first case is  $\alpha \in T$ .
- 3. Condition (ii) from Exercise 2 is then granted because the validity of only finitely many axioms has to be tested in a finite structure.

#### Section 3.7

1. For **H**: Let  $\mathcal{B} = h\mathcal{A}$  be a homomorphic image of  $\mathcal{A}, w: \operatorname{Var} \to A$ , and define  $hw: \operatorname{Var} \to B$  by  $x^{hw} := hx^w$ . Then  $ht^{\mathcal{A},w} = t^{\mathcal{B},hw}$  for all terms t and there is some  $w: \operatorname{Var} \to A$  with hw = w' for any given  $w': \operatorname{Var} \to B$ . For **S**: (3) in **2.3** page 66. For **P**: Set  $\mathcal{B} = \prod_{i \in I} \mathcal{A}_i$ . Then  $t^{\mathcal{B},w} = (t^{\mathcal{A}_i,w_i})_{i \in I}$  with  $x^w = (x^{w_i})_{i \in I}$ .

#### Section 3.8

- 1. (a): Let  $\alpha_{\text{unc}}$  in  $\mathcal{L}_{II}$  formalize the sentence 'there is a continuous order'.  $\alpha_{\text{unc}}$  has no countable model. In  $\mathcal{L}_Q^1$  one may take  $\mathfrak{O}x \, x = x$  for  $\alpha_{\text{unc}}$ . (b):  $X = \{i \neq j \mid i, j \in I, i \neq j\} \cup \{\neg \mathfrak{O}x \, x = x\}$  has no model if I is uncountable, although each finite subset of X has a model.
- 2. Define  $\mathbb{R}$  as a continuously ordered set with a countable dense subset.
- 4. Let x be a variable not in  $\mathcal{P}, \mathcal{Q}$ . A possible definition is provided by

x := 0; WHILE  $\alpha \lor x = 0$  do  $\mathcal{P}$ ; x := so end.

#### Section 4.1

- 1. Note that  $\bar{t}$  in case k = 0 is defined for ground terms only.
- 2. The most important case is k = 0. It deals with ground terms only.

# Section 4.2

- 1. First prove (a)  $(\forall i \in I) \mathcal{A}_i \vDash \pi[w_i] \Leftrightarrow \mathcal{B} \vDash \pi[w] (x^w = (x^{w_i})_{i \in I}), \pi$  prime and  $\mathcal{B} = \prod_{i \in I} \mathcal{A}_i$ . Then prove (b)  $(\forall i \in I) \mathcal{A}_i \vDash \alpha[w_i] \Rightarrow \mathcal{B} \vDash \alpha[w]$  by induction over basic Horn formulas  $\alpha$  as in Theorem 2.1. (b) yields the induction steps over  $\land, \forall, \exists$ . Observe  $t^{\mathcal{B},w} = (t^{\mathcal{A}_i,w_i})_{i \in I}$ . For a universal Horn theory apply (ii) $\Rightarrow$ (i) of Theorem 2.3.2.
- 2. A set of positive Horn formulas has the trivial (one-element) model.

#### Section 4.4

- 1. With  $w_1 \vDash p_1, p_3, \neg p_2$  and  $w_2 \vDash p_2, p_3, \neg p_1$  we have  $w_1, w_2 \vDash \mathcal{P}$ . Since  $w \vDash \mathcal{P}$  implies  $w \vDash p_3$  and either  $w \vDash p_1$  or  $w \vDash p_2$ , there is no valuation  $w \leqslant w_1, w_2$  such that  $w \vDash \mathcal{P}$ .
- 2. For arbitrary  $w \models \mathcal{P}$ ,  $w \models p_{m,n,m+n}$  follows inductively on n. Hence  $w_s \leqslant w_{\mathcal{P}}$ , and consequently  $w_s = w_{\mathcal{P}}$ .
- 3. (a): Theorem 4.2. (b):  $w_{\mathcal{P}} \nvDash p_{n,m,k}$  if  $k \neq n+m$ , so  $\mathcal{P}, \neg p_{n,m,k} \nvDash^{HR} \square$ .

#### Section 4.5

- 2.  $\Rightarrow: x_i \in \operatorname{var} t_j \Rightarrow x_j^{\sigma} = t_j \neq t_j^{\sigma} = x_j^{\sigma^2}$ , hence  $\sigma \neq \sigma^2$ .  $\Leftarrow: t_i^{\sigma} = t_i$  since necessarily  $x^{\sigma} = x$  for all  $x \in \operatorname{var} t_i$ .
- 3. Let  $\omega$  be a unifier of  $K_0 \cup K_1$ . Then  $K_0^{\omega} = K_1^{\omega}$  is a singleton. Put  $x^{\omega'} = x^{\rho\omega}$  for  $x \in \operatorname{var} K_0^{\rho}$  and  $x^{\omega'} = x^{\omega}$  else. Then  $K_0^{\rho\omega'} = K_0^{\rho^{2}\omega} = K_0^{\omega}$  since  $\rho^2 = \iota$ , and  $K_1^{\omega'} = K_1^{\omega}$ . Thus,  $K_0^{\rho} \cup K_1$  is unified by  $\omega'$ . The converse need not hold. Let  $r_2$  be a binary relation symbol, f a unary operation symbol, and 0 a constant.  $K_0 = \{r_2 f v f x\}$  and  $K_1 = \{r_2 f 0 v\}$  are not unifiable, but  $K_0^{\rho}$  and  $K_1$  are, with  $\rho = \binom{v}{u}$ . Indeed, for  $\omega = \frac{0}{u} \frac{fx}{v}$  we get  $K_0^{\rho\omega} = \{r_2 f u f x\}^{\omega} = \{r_2 f 0 f x\} = K_1^{\omega}$ .

#### Section 4.6

- 1. Join  $\mathcal{P}_g$  and  $\mathcal{P}_h$  and add to the resulting program the rules  $r_f(\vec{x}, 0, u) := r_q(\vec{x}, u)$  and  $r_f(\vec{x}, Sy, u) := r_f(\vec{x}, y, v), r_h(\vec{x}, y, v, u).$
- 2. Add to the programs the rule  $r_f \vec{x} u := r_{g_1} \vec{x} y_1, \ldots, r_{g_m} \vec{x} y_m, r_h \vec{y} u$ .

- 1. Let  $\alpha = \alpha(\vec{x}), \vec{a} \in A^n$ , and  $\mathcal{A} \models \alpha(\vec{a})$ . Then  $\mathcal{C} \models \alpha(\vec{a})$  as well, and since  $\mathcal{B} \preccurlyeq \mathcal{C}$ , also  $\mathcal{B} \models \alpha(\vec{a})$ .
- 3. Prove first the following simple **lemma**: Let 0 < b < c < 1. Then there is a strictly monotonic bijection  $f:[0,1] \to [0,1]$  (an automorphism of the closed interval [0,1]) such that fb = c. W.l.o.g.  $a_1 < \cdots < a_n$ ,  $n \ge 2$ , and  $b \in [a_1, a_n]$  irrational. Let  $a_k < b < a_{k+1}$ . W.l.o.g. we may assume  $a_k = 0$  and  $a_{k+1} = 1$ . Choose some  $c \in \mathbb{Q}$  with b < c < 1 and an automorphism  $f:[0,1] \to [0,1]$  with fb = c according to the above lemma. f can be extended in a trivial way to an automorphism of the whole of  $(\mathbb{R}, <)$  by setting fx = x outside [0, 1].
- 4. W.l.o.g.  $A \cap B = \emptyset$ . It suffices to show that  $D_{el} \mathcal{A} \cup D_{el} \mathcal{B}$  is consistent. Assume the contrary. Then there is some conjunction  $\gamma(\vec{b})$  of members of  $D_{el} \mathcal{B}$  and some  $\vec{b} \in B^n$  such that  $D_{el} \mathcal{A}, \gamma(\vec{b}) \vdash \bot$ . Thus,  $D_{el} \mathcal{A} \vdash \neg \gamma(\vec{b})$ . Since  $A \cap B = \emptyset$ , the  $b_1, \ldots, b_n$  do not occur in  $\mathcal{A}$ , hence constant quantification yields  $D_{el} \mathcal{A} \vdash \forall \vec{x} \neg \gamma$  and so  $\mathcal{A} \vDash \forall \vec{x} \neg \gamma$ . But clearly  $\mathcal{B} \vDash \exists \vec{x} \gamma$ . a contradiction to  $\mathcal{A} \equiv \mathcal{B}$ .
- 5. (a):  $\{t^{\mathcal{A}} \mid t \in \mathcal{T}_G\}$  is closed with respect to all  $f^{\mathcal{A}}$ . It is the smallest such set and hence exhausts A. (b): By (a), we may choose to each  $a \in A \setminus G$  some  $t_a \in \mathcal{T}_G$  such that  $D\mathcal{A} \vdash a = t_a$ . Thus,  $T + D\mathcal{A}$  can be regarded as a definitorial and hence conservative extension of  $T + D_G \mathcal{A}$ , so that  $D\mathcal{A} \vdash_T \alpha \Leftrightarrow D\mathcal{A}^E \vdash_T \alpha$  for all sentences  $\alpha \in \mathcal{L}G$ .

# Section 5.2

- 2.  $T_{\text{suc}} \vdash \text{IS}$  because  $(\mathbb{N}, 0, \mathbf{S}) \models \text{IS}$  and  $T_{\text{suc}}$  is complete. To prove the "no circle" scheme which is equivalent to  $(*) \forall x \, \mathbf{S}^n x \neq x \ (n \geq 1)$ , we start from  $(\#) \, \mathbf{S}^{n+1}x = \mathbf{S}^n(\mathbf{S}x)$  for every n. (#) is easily verified by metainduction on n, while the induction schema IS is needed in order to prove (\*) by induction on x. Clearly,  $\mathbf{S}^n 0 \neq 0$  by the axiom  $\forall x 0 \neq \mathbf{S}x$ . From the induction hypothesis  $\mathbf{S}^n x \neq x$  we get the induction claim  $\mathbf{S}^n(\mathbf{S}x) = \mathbf{S}(\mathbf{S}^n x) \neq \mathbf{S}x$  by applying (#) and the second axiom of  $T_{\text{suc}}$ .
- 3. Let  $a \in G \models T$  and  $\frac{a}{n}$  the element with  $n \frac{a}{n} = a$ , and  $\frac{m}{n} : a \mapsto m \frac{a}{n}$  for  $\frac{m}{n} \in \mathbb{Q}$ . Then G becomes the vector group of a  $\mathbb{Q}$ -vector space. This group is easily shown to be  $\aleph_1$ -categorical.

- 4. Each consistent  $T' \supseteq T$  is the intersection of its completions in  $\mathcal{L}$ .
- 5. Each  $\mathcal{A} \models T$  has a countable elementary substructure (Theorem 1.5).

- 1. For  $SO_{00}$ : In the first round player II may play arbitrarily, then according to the winning strategies for models of  $SO_{01}$  or  $SO_{10}$  in the decomposed segments.
- 2. If player I starts with  $a \in A$  and to the right and the left of a remain at least  $2^{k-1}$  elements, player II should choose correspondingly. Otherwise he should answer with the elements of the same distance from the left or right edge element, respectively.
- 3.  $SO_{11} \subseteq FO$  is obvious.  $FO \subseteq SO_{11}$ : If  $\mathcal{A} \models SO_{11}$  then for each k > 0 there is some finite  $\mathcal{B} \models SO_{11}$  such that  $\mathcal{A} \sim_k \mathcal{B}$ .
- 4. Prove first that  $SO_{11} \cup \{\exists_i \mid i > 0\}$  is complete. Then apply Theorem 2.3.

#### Section 5.4

- 1. Let  $h: \mathcal{A} \to \mathcal{B}$  be a homomorphism,  $\mathcal{M} = (\mathcal{A}, w), \ \mathcal{M}' = (\mathcal{B}, w')$  with  $x^{w'} = hx^w$ . Verify  $\mathcal{M} \models \varphi[\vec{a}] \Rightarrow \mathcal{M}' \models \varphi[h\vec{a}]$  by induction on  $\varphi$ .
- 2. Let  $\mathcal{A} = (A, <)$  be ordered. Replacing each  $a \in A$  by a copy of  $(\mathbb{Z}, <)$  or of  $(\mathbb{Q}, <)$  results in a discrete or a dense order  $\mathcal{B} \supseteq \mathcal{A}$ , respectively.
- 3. Let  $\mathcal{A}_0 \models T_0$ . Choose  $\mathcal{A}_1$  with  $\mathcal{A}_0 \subseteq \mathcal{A}_1 \models T_1$ ,  $\mathcal{A}_2$  with  $\mathcal{A}_1 \subseteq \mathcal{A}_2 \models T_0$ etc. This results in a chain  $\mathcal{A}_0 \subseteq \mathcal{A}_1 \subseteq \mathcal{A}_2 \subseteq \cdots$  such that  $\mathcal{A}_{2i} \models T_0$ and  $\mathcal{A}_{2i+1} \models T_1$ . Then  $\mathcal{A}^* := \bigcup_{i \in \mathbb{N}} \mathcal{A}_{2i} = \bigcup_{i \in \mathbb{N}} \mathcal{A}_{2i+1} \models T_0, T_1$  and hence  $\mathcal{A}^* \models T := T_0 + T_1$ . This shows that T is consistent and model compatible with  $T_0$  (hence likewise with  $T_1$ ). Clearly, T is an  $\forall \exists$ -theory and therefore also inductive.
- 4. The union S of a chain of inductive theories model compatible with T has again these properties. By Zorn's lemma there exists a maximal, hence in view of Exercise 3 a largest theory of this kind.

- 1. Let  $(i, j) \neq (0, 0)$ . Then  $\mathsf{DO}_{ij}$  has models  $\mathcal{A} \subseteq \mathcal{B}$  with  $\mathcal{A} \not\preccurlyeq \mathcal{B}$ . To show that  $\mathsf{DO}_{00}$  is the model completion of  $\mathsf{DO}$  note first that  $T := \mathsf{DO}_{00} + \mathcal{DA}$ is model complete for each  $\mathcal{A} \models \mathsf{DO}$ . Moreover, T is complete since Thas a prime model: For instance, let  $\mathcal{A} \models \mathsf{DO}_{10}$ . Then the ordered sum  $\mathbb{Q} + \mathcal{A}$  (i.e.,  $(\forall x \in \mathbb{Q})(\forall y \in A)x < y)$  is a prime model of T.
- (a) Lindström's criterion. T is ℵ<sub>1</sub>-categorical because a T-model can be understood as a Q-vector space. (b) Each T<sub>0</sub>-model G is embeddable in a T-model H. One gains such H by defining a suitable equivalence relation on the set of all pairs <sup>a</sup>/<sub>n</sub> with a ∈ G and n ∈ Z \{0}.
- 3. Uniqueness follows similarly to uniqueness of the model completion. If  $\mathcal{A} \models T^*$  and  $\mathcal{A} \subseteq \mathcal{B} \models T$  then  $\mathcal{B} \subseteq \mathcal{C} \models T^*$  for some  $\mathcal{C}$ , hence  $\mathcal{A} \preccurlyeq \mathcal{C}$  in view of  $\mathcal{A} \subseteq \mathcal{C}$ , and therefore  $\mathcal{A} \subseteq_{ec} \mathcal{B}$  according to Lemma 4.8.
- 4. The algebraic closure  $\overline{\mathcal{F}_p}$  of the prime field  $\mathcal{F}_p$  is equal to  $\bigcup_{n \ge 1} \mathcal{F}_{p^n}$ , where  $\mathcal{F}_{p^n}$  is the finite field of  $p^n$  elements. Thus, an  $\forall \exists$ -sentence valid in all finite fields is valid in all a.c. fields of prime characteristics and hence in all a.c. fields (proof indirectly with (1) in **3.3**).

# Section 5.6

- 1. Let  $\mathcal{A}, \mathcal{B} \models \mathsf{ZG}, \mathcal{A} \subseteq \mathcal{B}$ . Then also  $\mathcal{A}' \subseteq \mathcal{B}'$  for the  $\mathsf{ZGE}$ -expansions  $\mathcal{A}'$ and  $\mathcal{B}'$  of  $\mathcal{A}$  and  $\mathcal{B}$ , respectively, because m has in  $\mathsf{ZG}$  both an  $\forall$ - and an  $\exists$ -Definition. Thus  $\mathcal{A}' \preccurlyeq \mathcal{B}'$  and hence  $\mathcal{A} \preccurlyeq \mathcal{B}$ .
- 2. Similiar to quantifier elimination in ZGE but somewhat more simple.
- 3. Inductively over quantifier-free  $\varphi = \varphi(x)$  follows: either  $\varphi^{\mathcal{A}}$  or  $(\neg \varphi)^{\mathcal{A}}$  is finite for each  $\mathcal{A} \models \mathsf{RCF}^\circ$ . This is not the case for  $\alpha(x)$ .
- 4. CS holds in the real closed field  $\mathbb{R}$ , hence in each  $\mathcal{A} \in \mathsf{RCF}$ . The proofs from CS of  $(\forall x \ge 0) \exists y \ x = y \cdot y$ , and that each polynomial of odd degree has a zero must be carried out without a theory of continuous functions, which is very instructive.

- 1. If F is trivial then there is some  $i_0 \in I$  with  $i_0 \in J$  for each  $J \in F$ by Exercise 3 in **1.5**. Then  $a \approx_F b \Leftrightarrow i_0 \in I_{a=b} \Leftrightarrow a_{i_0} = b_{i_0}$ , for all  $a, b \in \prod_{i \in I} A_i$ . This implies  $\prod_{i \in I}^F A_i \simeq A_{i_0}$ .
- 2.  $x \mapsto x^I/F$  ( $x \in A$ ) is an embedding (to be checked in detail) and moreover an elementary embedding.
- 3. Let  $X \vDash_{\mathbf{K}} \varphi$  and I,  $J_{\alpha} F$  defined as in the proof of Theorem 7.3 and assume that for each  $i \in I$  there is some  $\mathcal{A}_i \in \mathbf{K}$  and  $w_i \colon \text{Var} \to \mathcal{A}_i$  such that  $w_i \alpha \in D^{\mathcal{A}_i}$  for all  $\alpha \in i$  but  $w_i \varphi \notin D^{\mathcal{A}_i}$ . Put  $\mathcal{C} := \prod_{i \in I}^F \mathcal{A}_i \ (\in \mathbf{K})$ and  $w = (w_i)_{i \in I}$ . Then  $wX \subseteq D^{\mathcal{C}}$  and  $w\varphi \notin D^{\mathcal{C}}$ , hence  $X \nvDash_{\mathcal{C}} \varphi$ , a contradiction to  $X \vDash_{\mathbf{K}} \varphi$ .
- 4. W.l.o.g.  $\mathcal{A} = 2$  and  $2 \subseteq \mathcal{B} \subseteq 2^{I}$  for some set I by Stone's representation theorem.  $2 \vDash \alpha \Rightarrow 2^{I} \vDash \alpha \Rightarrow \mathcal{B} \vDash \alpha$  according to Theorem 7.5.

#### Section 6.1

- 1.  $b \in \operatorname{ran} f \Leftrightarrow (\exists a \leqslant b) f a = b$  (this predicate is p.r. iff f is p.r.).
- 2. Injectivity: Let  $\wp(a, b) = \wp(c, d)$ . In order to prove a = c and b = dassume first that a + b < c + d. This leads to a contradiction since  $\wp(a, b) < \wp(a, b) + b + 1 = t_{a+b} + a + b + 1 = t_{a+b+1} \leq t_{c+d} \leq \wp(c, d)$ . Thus a + b = c + d. But then  $a = \wp(a, b) - t_{a+b} = \wp(c, d) - t_{c+d} = c$ , hence also b = d. Surjectivity: Since  $\wp(0, 0) = 0 \in \operatorname{ran} \wp$  it suffices to prove  $\wp(a, b) + 1 \in \operatorname{ran} \wp$ , for all a, b. Clear for b = 0 because  $\wp(a, 0) + 1 = t_a + a + 1 = t_{a+1} = \wp(0, a + 1)$ . In case  $b \neq 0$  is  $\wp(a, b) + 1 = t_{a+b} + a + 1 = t_{a+1+b-1} + a + 1 = \wp(a + 1, b - 1)$ . This proof also confirms the correctness of the diagram for  $\wp$ , that is, the arrows truly reflect the successively growing values of  $\wp$ .
- 3.  $\varkappa_1 n = (\mu k \leq n)[(\exists m \leq n)\wp(k,m) = n].$
- 4. lcm{ $f\nu \mid \nu \leq n$ } =  $\mu k \leq \prod_{\nu \leq n} f\nu [k \neq 0 \& (\forall \nu \leq n) f\nu | k].$
- 5.  $\Rightarrow$ : Let *R* be recursive,  $M = \{a \in \mathbb{N} \mid \exists bRab\}$ , and  $c \in M$  fixed. Put fn = k in case  $(\exists m \leq n) n = \wp(m, k) \& Rkm$ , and fn = c otherwise.

#### Section 6.2

- 1. Let  $\alpha_0, \alpha_1, \ldots$  be a recursive enumeration of  $X, \beta_n = \underbrace{\alpha_n \wedge \ldots \wedge \alpha_n}_n$ . By Exercise 1 in **6.1**,  $\{\beta_n \mid n \in \mathbb{N}\}$  is recursive and axiomatizes T as well.
- 2. Follow the proof of the unique term reconstruction property.
- 3. Similar to Exercise 2 with the unique formula reconstruction.
- 4. (a): A proof  $\Phi = (\varphi_0, \ldots, \varphi_n)$  of  $\varphi = \varphi_n$  from an axiom system X in  $T + \alpha$  can easily and in a p.r. manner be converted into a somewhat longer proof  $\Phi'$  of  $\alpha \to \varphi$  in T, following the case distinction in Lemma 1.6.3:  $\varphi_i \in \Phi$  should in case  $\varphi_i = \alpha$  be replaced by a proof of  $\alpha \to \alpha$  in T, and in case  $\varphi_i \in X \cup \Lambda$  by a proof of  $\varphi_i \to \alpha \to \varphi_i$  in T followed by  $\varphi_i$  and  $\alpha \to \varphi_i$ . If  $\varphi_k \in \Phi$  results from  $\varphi_i \in \Phi$  and  $\varphi_j = \varphi_i \to \varphi_k \in \Phi$  by applying MP, then the axiom  $(\alpha \to \varphi_i \to \varphi_k) \to (\alpha \to \varphi_i) \to \alpha \to \varphi_k$ , followed by  $(\alpha \to \varphi_i) \to \alpha \to \varphi_k$  and  $\alpha \to \varphi_k$  should replace  $\varphi_k$ . One may also proceed inductively on the length of  $\Phi$  in constructing  $\Phi'$ .

# Section 6.3

- 1.  $\exists x \exists y \alpha \equiv_{\mathcal{N}} \exists z (\exists x \leq z) (\exists y \leq z) (z = \wp(x, y) \land \alpha)$  where  $z \notin var \alpha$ . Similarly for  $\forall x \forall y \alpha$ . Note also that  $\exists x \exists y \alpha \equiv_{\mathcal{N}} \exists z (\exists x \leq z) (\exists y \leq z) \alpha$ . In all these equivalences,  $\equiv_{\mathcal{N}}$  can be replaced by  $\equiv_{\mathsf{PA}}$ .
- 2.  $(\forall z < y) \exists x \alpha \equiv_{\mathsf{PA}} \exists u (\forall z < y) (\exists x < u) \alpha$ . Contraposition and renaming of  $\alpha$  readily yields  $(\exists z < y) \forall x \alpha \equiv_{\mathsf{PA}} \forall u (\exists z < y) (\forall x < u) \alpha$ .
- 3. Prove  $R^{=}$  by case distinction.
- 4. Prove by induction on  $\varphi$  that both  $\varphi$  and  $\neg \varphi$  satisfy the claim.

#### Section 6.4

1. (a): 
$$p \not i a \Rightarrow a \perp p \Rightarrow \exists xy \, xa + 1 = yp$$
 (Euclid's lemma)  
 $\Rightarrow \exists xy \, b = ypb - xab \Rightarrow p \mid b.$ 

(b): Let  $m := \operatorname{lcm}\{a_{\nu} | \nu \leq n\}$ , so that  $m = a_{\nu}c_{\nu}$  for suitable  $c_{\nu}$ . Assume that  $(\forall \nu \leq n)p \not\mid a_{\nu}$ . Then  $(\forall \nu \leq n)p \mid c_{\nu}$  by (a). Thus m = pm' and  $c_{\nu} = pc'_{\nu}$  for suitable  $m', c'_{\nu}$ . This leads to contradiction to the definition of m. (c) easily follows from (b).

- 2.  $\exists u [\texttt{beta} u 02 \land (\forall v < x) (\exists w, w' \leq y) (\texttt{beta} uvw \land \texttt{beta} uSvw' \land w < w' \land \texttt{prim} w \land \texttt{prim} w' \land (\forall z < w') (\texttt{prim} z \rightarrow z \leq w) \land \texttt{beta} uxy)].$
- 3. (a): Prove this first for x instead of  $\vec{x}$ . (b): It suffices to show that  $sb_x(\dot{\varphi}, x) = \dot{\varphi}$  for  $x \notin free \varphi$ .  $(sb_x((\forall x\alpha)^{\cdot}, x) = (\forall x\alpha)^{\cdot}$  for closed  $\alpha$ ).

# Section 6.5

- 2. (ii) $\Rightarrow$ (i): If T is complete and T'+T is consistent then  $T' \subseteq T$  provided T and T' belong to the same language.
- 4. Trivial if  $T + \Delta$  is inconsistent. Otherwise let  $\varkappa$  be the conjunction of all sentences  $\forall \vec{x} \exists ! y \alpha(\vec{x}, y), \alpha$  running through all defining formulas for operations from  $\Delta$ . If T is decidable than so is  $T + \varkappa$ . Moreover  $\vdash_{T+\Delta} \alpha \Leftrightarrow \vdash_{T+\varkappa} \alpha^{rd}$ .
- 5. Set  $fa = (\dot{\Phi})_{last}$  if there is a proof  $\Phi$  in Q with  $a = \dot{\Phi}$ , and fa = 0 otherwise. ran  $f = \{0\} \cup \{\dot{\varphi} \mid \vdash_Q \varphi\}$  is not recursive, since otherwise  $\dot{Q}$  would be recursive which is not the case.

# Section 6.6

- 1. Let  $T \supseteq T_1$  be consistent.  $S = \{\alpha \in \mathcal{L}_0 \mid \alpha^{\mathsf{P}} \in T^{\Delta} + CA\}$  is a theory, see the proof of Theorem 6.2. S extends  $T_0$  consistently, hence is undecidable. The same then holds for  $T^{\Delta} + CA$ , hence for  $T^{\Delta}$  (since CA is finite), and therefore also for T.
- 2. Identify **P** with  $\omega$  and define for arbitrary  $n, m, k \in \omega$

 $n+m=k \leftrightarrow \exists ab(a \sim n \land b \sim m \land a \cap b = \emptyset \land k \sim a \cup b).$ 

For an explicit definition of multiplication on  $\omega$  the cross product has to be used. These definitions reflect the naive set-theoretic standard definitions of addition and multiplication in  $\mathbb{N}$ .

#### Section 6.7

- 2.  $\Delta_0$  is r.e. but not  $\Delta_1$  (Remark 2 in 6.4). Q is  $\Sigma_1$  but not  $\Delta_1$ .
- 3. T is  $\omega$ -inconsistent iff  $(\exists \varphi \in \mathcal{L}^1)(\forall n \ bwb_T \neg \varphi(\underline{n}) \& \ bwb_T \exists x \varphi).$

# Section 7.1

- 1. Prove  $\vdash_{\mathsf{PA}} \exists r \delta_{\mathrm{rem}}(a, b, r)$  for  $b \neq 0$  by induction on a.
- 2. (a): Follow the proof of Euclid's lemma in **6.4**. (b): Use <-induction. (c): Let p|ab.  $p/a \Rightarrow \exists x, y xa+1 = yp \Rightarrow \exists x, y xab+b = ybp \Rightarrow p|b$ .
- 3. Similar to part (c) of Exercise 1 in 6.4.
- 4. Existence: <-induction. Uniqueness: Prove first  $p \not\mid q^k$  (p, q prime) by induction on k, applying Exercise 2(c).
- 5. (a):  $\Box_{T+\alpha} \varphi \vdash_T \Box_T (\alpha \rightarrow \varphi)$  formalizes part (b) of Exercise 4 in **6.2**.

# Section 7.3

- 1.  $\vdash_T \Box \alpha \to \alpha \Rightarrow \vdash_{T'} \neg \Box \alpha \Rightarrow \vdash_{T'} \operatorname{Con}_{T'}$ , since  $\operatorname{Con}_{T'} \equiv_T \neg \Box \alpha$  by (5). Thus, T' is inconsistent by (1), hence  $\vdash_T \alpha$ .
- 3. Clear if n = 0. Let  $T^n = T + \neg \Box^n \bot$  and  $\operatorname{Con}_{T^n} \equiv_T \neg \Box^{n+1} \bot$  (the induction hypothesis). Now,  $\Box^n \bot \vdash_T \Box^{n+1} \bot$  by D3. Hence, we obtain  $T^{n+1} = (T + \neg \Box^n \bot) + \neg \Box^{n+1} \bot = T + \neg \Box^{n+1} \bot$ . Further, by (5) page 281,  $\operatorname{Con}_{T^{n+1}} \equiv_T \neg \Box \neg (\neg \Box^{n+1} \bot) \equiv_T \neg \Box^{n+2} \bot$ .
- 4. For arithmetical sentences  $\alpha$  the statement 'If  $\alpha$  is provable in PA then  $\alpha$  is true in  $\mathcal{N}$ ' is provable in ZFC. Formalized:  $\vdash_{\mathsf{ZFC}} \Box_{\mathsf{PA}} \alpha \to \alpha$ .

#### Section 7.4

1.  $\Box p \rightarrow \Box \Box p$  is responsible for transitivity, Löb's formula for irreflexivity.

2. 
$$\vdash_{\mathsf{G}} p \to \Box p \to p \Rightarrow \vdash_{\mathsf{G}} \Box (p \to \Box p \to p) \Rightarrow \vdash_{\mathsf{G}} \Box p \to \Box (\Box p \to p).$$

# Section 7.5

1. Prove first  $(*) \vdash_{\mathsf{G}_n} H \Leftrightarrow \vdash_{\mathsf{G}} \Box^n \bot \to H$  for all  $H \in \mathfrak{F}_{\Box}$ . The direction  $\Rightarrow$  in (\*) follows by induction on  $\vdash_{\mathsf{G}_n} H$ . Then continue as follows:

$$\begin{split} \vdash_{\mathsf{G}_n} H \Leftrightarrow \vdash_{\mathsf{G}} \Box^n \bot \to H & (\mathrm{by}(*)) \\ \Leftrightarrow \vdash_{\mathsf{PA}} (\Box^n \bot \to H)^i \text{ for all } i & (\text{Theorem 5.2}) \\ \Leftrightarrow \vdash_{\mathsf{PA}} \Box^n \bot \to H^i \text{ for all } i & (\text{property of } i) \\ \Leftrightarrow \vdash_{\mathsf{PA}_n} H^i \text{ for all } i & (\mathsf{PA}_n = \mathsf{PA} + \Box^n \bot). \end{split}$$

- 2. The first claim follows immediately from Exercise 3 in **7.3**. For determining the provability logic of  $\mathsf{PA}^n_+$ , use (6) in **7.3** and Theorem 5.3.
- 4. Prove that  $\nvdash_{\mathsf{GS}} \neg [\neg \Box(p \rightarrow q) \land \neg \Box(p \rightarrow \neg q) \land \neg \Box(q \rightarrow p) \land \neg \Box(q \rightarrow \neg p)]$ and observe Theorem 5.4.

#### Section 7.7

- We show there is some π: g → n with P < Q ⇔ πP < πQ for n := lh g (the length of a longest path in g). Trivial for lh g = 0, with πP = 0 for all P ∈ g. Let lh g = n + 1 and g' := g \ max g where max g denotes the set of all maximal points in g. Then lh g' = n and g' has property (**p**) as well as is readily checked. Hence g' is a preference order with a mapping π': g' → n by the induction hypothesis. Extend π' to π: g → n + 1 by putting πP = n for all P ∈ max g. Obviously, P < Q ⇒ πP < πQ. For proving the converse let πP < πQ with Q ∈ max g. Then certainly P' ∈ max g for some P' > P. Hence, by (**p**), either P < Q or Q < P'. The latter is impossible since Q ∈ max g. Thus P < Q.</li>
- 2. If (i) is falsified in g (that is, if  $\Diamond (\Box p \land \Diamond \neg q) \land \Diamond (\Box q \land \neg p)$  is satisfiable in some point  $O \in g$ ) then g contains the diagram from page 296 as a subdiagram, with no arrow from P to Q and from Q to P'. It easily follows that the finite poset g cannot be a preference order.
- 3. It is a matter of routine to check that  $\Box(\Box p \land p \to q) \lor \Box(\Box q \to p)$  is satisfied in an ordered G-frame. For the converse assume that g is initial but not (totally) ordered. Then g contains the "fork" from page 298 as a subframe, in which the Gj-axiom can easily be refuted.
- 4. Soundness of the G-axioms and rules is shown as the soundness part of Theorem 7.3 which was given in the text. Soundness of the Gjaxiom follows by contraposition. Assume that there are cardinals  $\kappa, \lambda$ such that  $V_{\kappa} \models \Box \alpha \land \alpha \land \neg \beta$ , and  $V_{\lambda} \models \Box \beta \land \neg \alpha$ . Then each of the assumptions  $\kappa < \lambda, \kappa > \lambda$ , or  $\kappa = \lambda$  yields a contradiction.